

ALLIANCE FOR UNIFORM HAZMAT TRANSPORTATION PROCEDURES Application and Instruction Packet

For

The Uniform State Hazardous Materials Transportation Motor Carrier Registration and Permit Program

This packet includes Parts I, II, III & IV for New Applicants or the Part II Permit Review LONG FORM – Required every three years

If you do not transport hazardous waste in or through the states of Ohio and Minnesota or radioactive waste in or through the state of Nevada, Part III may be omitted.

The uniform registration and permitting application shall be completed by all motor carriers who:

Transport:

- 1) hazardous materials of a type and amount that require the transport vehicle to be placarded pursuant to 49 CFR, Part 172.500 et seq.; OR
- 2) hazardous waste of a type and amount that requires the shipment to be accompanied by a Uniform Hazardous Waste Manifest contained in 40 CFR, Part 262, including "state" designated hazardous wastes". State designated hazardous wastes are additional hazardous wastes that have been officially determined by states that have been authorized by the United States Environmental Protection Agency to manage RCRA programs within their respective states. OR
- 3) low-level radioactive waste regulated by the Nuclear Regulatory Commission under 10 CFR, Parts 20 and 61.

And who operate in the following jurisdictions that participate in the uniform registration and permit program.

Illinois, Michigan, Oklahoma - Hazardous Waste Only West Virginia - All Hazardous Materials Minnesota, Ohio - All Hazardous Materials, Part III for Hazardous Waste Nevada - All Hazardous Materials, Part III for Radioactive Materials

Note: Transportation of wastes not covered under the Uniform State Hazardous Materials Transportation Motor Carrier Registration and Permit Program may have additional permit/registration/manifest requirements in various Program States. Questions should be directed to the specific Program State regarding the waste transportation as noted below:

Illinois: Non-hazardous special waste, potential infectious medical waste, used tires Michigan: Non-hazardous liquid industrial waste, scrap tires, PCB

Please refer to the instructions that accompany this application before contacting the base state with questions or requests for additional information.



Alliance for Uniform HazMat **Transportation Procedures**Application and Instruction Packet

New Applicant
Permit Renewal

PART I – Required for a	II Applicants	Section A: Mo	tor Carrier	Information							
1. Legal Name			2. Doir	ng Business Name	(DBA)						
3. Mailing Address			4. Phy	4. Physical Street Address							
5. City	6. State/Province	7. Zip Code	8. City		9. State/Province	10. Zip Code					
11. Principal Business Ph	one Number	12. Principal Contact	Cellular Ph	one	13. Principal Business	Fax Number					
14. 24 Hr. Emergency Re	sponse Number	15. IRS Tax ID Numb	per	16. PHMSA HazMat Registration Nu							
17. USDOT#		18. MC or MX #			19. U.S. EPA ID# (Red	quired for Hazardous Waste)					
20. Application Contact F	Person		21. E	mail address							
		Section B: Mo	tor Carrie	r Operation							
22. Carrier Operation (Ch A.	te B. 🗌 Intra	astate ate	manife	est? Yes you anticipate tran	ardous waste in a mann No nsportation of radioactiv No	er that requires a uniform e waste in Nevada?					
states?	sportation of hazardous wa Illinois Michigan Oklahoma Ohio			us 12 months?	ardous waste in any of Illinois Mich Oklahoma Ohio	_					
separate copy of this p		h fleet. IRP covers	plicant ma	intains multiple f		FIRP reporting, complete a efore, also include non-IRP					
27. IRP/IFTA Account Nu	mber			porting Period (12 Calendar Yr		rom to					
29. Average number of poperiod indicated in Item 2	ower units owned, leased or 8.	operated for the time		indicated in Item 2		ed or operated for the time 3500 water gallons 3500 water gallons					
31. International Registra	tion Plan (IRP) Percentages	- Fleet #									
AL%	FL% K	/% MO_	%	NJ%	RI%	VA%					
AK%	GA% LA	MT_	%	NM%	SC%	WA%					
AZ%	HI% M	A% NC _	%	NY%	SD%	WV%					
AR%	ID% M	D% ND_	%	OH%	TN%	WI%					
CA%	IL% M	E% NE_	%	OK%	TX%	WY%					
CO%	IN% M	% NV _	%	OR%	UT%	DC%					
CT%			%	PA%	VT%	Other North American					
DE%	KS% M	S%				%					
None- MIDPOII□ Greater than 0□ 10.1 to 20.0 – N	ortation activity that involves NT 0.0% to 10.0 – MIDPOINT 5.0% MIDPOINT 15.0% MIDPOINT 25.0%	☐ 30.1 ☐ 40.1 ☐ 50.1	to 50.0 – M to 60.0 – M	IDPOINT 35.0% IDPOINT 45.0% IDPOINT 55.0% IDPOINT 65.0%	☐ 80.1 to 9	80.0 – MIDPOINT 75.0% 90.0 – MIDPOINT 85.0% 100.0 – MIDPOINT 95.0%					
33. Percentage of transpo	ortation activity attributed to	only hazardous waste	for the twelv	e month period ind	icated in Item 28						

34.	Check ead	ch applicable class, division and zone of hazardous materials transp	orted	d. (See 49 CFR 173.2 et.seq)
	Div 1.1 I Div 1.2 I Div 1.3 I Div 1.4 I Div 1.5 I Div 2.1 I Div 2.1 I Div 2.2 I Div 2.2 I Div 2.3 I Div 2.3 I Div 2.3 I Class 3 Class 3 Combus	Explosives (with mass explosion hazard) Explosives (with projection hazard) Explosives (with predominantly fire hazard) Explosives (with no significant blast hazard) Very insensitive explosives; blasting agents Extremely insensitive detonating substances Flammable gas LPG (Liquified Petroleum Gas) Methane Gas Non-flammable compressed gas A (Anhydrous Ammonia) A (Poison Gas which is Poison Inhalation Hazard (PIH) Zone A B (Poison Gas which is PIH Zone B) C (Poison Gas which is PIH Zone C) D (Poison Gas which is PIH Zone D) Flammable and combustible liquid A (Flammable liquid which is a PIH Zone A) B (Flammable liquid which is a PIH Zone B) stible Liquid (Refer to 49 CFR 173.120 (b)) Flammable Solid		Div 4.2 Spontaneously Combustible material Div 4.3 Dangerous when wet material Div 5.1 Oxidizer Div 5.2 Organic Peroxide Div 6.2 Infectious substance (Etiologic agent) Div 6.1 A (Poison Liquid which is a PIH Zone A) Div 6.1 B (Poison Liquid which is a PIH Zone B) Div 6.1 Poison (Poisonous liquid with no inhalation hazard) Div 6.1 Solid (Meets the definition of a poisonous solid) Class 7 Radioactive materials HRCQ (Highway Route Controlled Quantity of Radioactive Material) Class 8 Corrosive material Class 8 A (Corrosive liquid which is a PIH Zone A) Class 8 B (Corrosive liquid which is a PIH Zone B) Class 9 Miscellaneous hazardous material Elevated Temperature Material Infectious Waste Marine Pollutants Hazardous Sub (RQ) Hazardous Waste ORM
		riammable Solid ne following hazardous material(s) does your company transport, ch	_	
	2. More quantity 3. For n inhalatic quarts) A (TIH) (TIH) (I	 I certify that the applicant has been issued a Satisfactory Safety I certify that the applicant's out of service rate is not in the top 30 I certify that the applicant's crash rate is not in the top 30% of the 	our service nation	f the national average.
	nitials		: Ce	rtifications
		maintain the minimum level of financial responsibility as required by 2. I certify that, to the best of my knowledge, all applicant owner	y 49 d an	perly executed Form MCS82 or MCS90, and has in effect and will CFR 387 or required coverage for intrastate carriers, if applicable. d operated vehicles have received a periodic inspection within the applicant maintains vehicle maintenance records in accordance with
		Part 396 all inclusive. 3. I certify that, to the best of my knowledge, all of the applica	nt's d	drivers subject to 49 CFR 383 have a current commercial driver's als and cargo tankers and a complete driver qualification file is
		4. I certify that, to the best of my knowledge, the applicant compl by 49 CFR 100, 180, inclusive.	ies w	vith all applicable USDOT bulk packaging requirements as required
		5. I certify that, to the best of my knowledge, the applicant is emergency response plan.	in c	compliance with 29 CFR 1910.120(q) regulations pertaining to an
		6. I certify that, to the best of my knowledge, the applicant is a required by 49 CFR 397 and will so instruct its drivers.	ware	of, and will observe, all state designated routing requirements as

2. I certify that, to the best of my knowledge, all applicant owned and operated vehicles have received a periodic inspection within the past year under the requirements detailed in 49 CFR 396.17 and the applicant maintains vehicle maintenance records in accordance with Part 396 all inclusive.
3. I certify that, to the best of my knowledge, all of the applicant's drivers subject to 49 CFR 383 have a current commercial driver's license, including all applicable endorsements for hazardous materials and cargo tankers and a complete driver qualification file is maintained in accordance with Part 391.
4. I certify that, to the best of my knowledge, the applicant complies with all applicable USDOT bulk packaging requirements as required by 49 CFR 100, 180, inclusive.
5. I certify that, to the best of my knowledge, the applicant is in compliance with 29 CFR 1910.120(q) regulations pertaining to an emergency response plan.
6. I certify that, to the best of my knowledge, the applicant is aware of, and will observe, all state designated routing requirements as required by 49 CFR 397 and will so instruct its drivers.
7. I certify that, to the best of my knowledge, the applicant is in compliance with 29 CFR 1910.1200 and 49 CFR 172 Subpart H and 49 CFR 177.800 dealing with training requirements for hazardous materials employees.
8. I certify that, to the best of my knowledge, the applicant retains its shipping papers, or an electronic image thereof, for a period of one year in conformance with 49 CFR 177.817(f)
9. I certify that, to the best of my knowledge, the applicant maintains all hours of service records as required in 49 CFR 395.8 and is in compliance with the hours of service regulations in 49 CFR 395.
10. I certify that, to the best of my knowledge, the applicant meets the motor carier safety requirements found in 49 CFR 100 to 180 inclusive.
11. I certify that, to the best of my knowledge, the applicant meets the federal requirements relating to security including security awareness training, the development of security plans, and security plan administration, as required by 49 CFR 172.704, 172.800 and 172.802 [RSPA Docket HM-232]
 Page 2 – Long Form

	requirements of Part III. Specific page references that link the provided documents to the requested information must be provided.											
Section A. Incorporation												
The applicant shall provide a	d, provide the date of incorporation: Place of table of organization showing the applicant's position in relations n organization chart for key management personnel.	incorporation:hip to parent and subsidiary file	rms.									
	Section B. Facilities Owned and Ope	erated										
List the name, business address, EPA or State ID Number (as applicable) and principal type of business of all North American facilities which currently are, or have in the last three years been, owned, operated or leased by the applicant, which during that time have been engaged in any of the activities described below. For each facility, also list all federal, state and local agencies which have regulated the facility's activities listed in 1-4 below, and list all permits, licenses and registrations applied for or held during that time by the applicant's firm for such activity. 1. RCRA or non-RCRA hazardous waste transportation, generation, treatment, storage, transfer, disposal, recycling or other handling. Note: "Non-RCRA hazardous waste" includes a number of materials regulated by certain, but not all, states as hazardous waste, such as waste asbestos, used oil and contaminated soil. 2. Biohazardous (infectious or medical) waste transportation, treatment or disposal. 3. Septic or industrial wastewater transportation, treatment or disposal. 4. Solid waste transportation, disposal or other handling.												
Facility Name Address EPAState ID# Principal Business Regulatory Agency	Provide information in a matrix format with the following headings as Attachment III.B to this application. Facility Name Address EPAState ID#											
	Section C. Identification of Key Manageme	nt Personnel										
specified below as Attachmental State Identifying Information the last three years, the follow a. All individuals how through another incompared by All directors c. All corporate off d. All managers of e. All first-line supports.	 influence over the applicant's environmental, waste management, or transportation operations. Provide identifying information for such personnel as specified below as Attachment III.C to this application. 1. Basic Identifying Information. Provide the full name, date of birth, driver's license number and all aliases used for individuals who hold, or have held in the last three years, the following key management positions (as applicable) in the applicant's firm: a. All individuals holding or controlling 10 percent or more of the equity (including stock) in, or debt liability of, the applicant either directly or through another individual, excluding commercial lending institutions. b. All directors c. All corporate officers, including but not limited to the firm's president, vice-president, secretary and chief financial officer. d. All managers of environmental regulatory compliance. e. All first-line supervisors who manage a facility at which the applicant transports, transfers, or stores hazardous waste. 2. If the initial background investigation of any key management personnel raises questions as to the identity of the person(s) for which information is provided, the state may request fingerprint cards for the person(s) whose identity is in question. 											
	Section D. Permits Held											
List all state hazardous mate provide additional sheets as a	erials transportation registrations, permits, licenses or similar typ Attachment III.D.	es of credentials held in the l	ast three years. If necessary									
Jurisdiction	Current or Recent Permit or Registration Number	Years Held	Type of Material (HM, HW, RAM)									

Additional Information Required from Motor Carriers of Hazardous Waste

Part III

Part III Continued

Section E. Related Business Concerns

Parent companies: List all persons which hold, or which have held in the last three years, either directly or through another person, 10 percent or more of the equity in, or debt liability of, the applicant's firm, excluding lending institutions. List all names and addresses used by such persons in the last three years as Attachment III.E of this application.

North American affiliates and subsidiaries: List all persons in which the applicant's firm, or any person listed in Part C, holds or has held in the last three years, 10 percent or more of the equity or debt liability. List all names and addresses used by such persons in the last three years.

Major contractors and persons involved in the brokering of hazardous waste: List all contractors and brokers that account for 10 percent or more the applicant company's contracted work in the last three years with the applicant has contract in any of the activities listed in Part B.

Leased vehicles: List all companies, not listed elsewhere, from which 25 percent or more of the applicant's vehicles are leased and used for the activities described in Part III, Section B.

Major clients: List all persons that accounted for 10 percent or more of the work performed by the applicant's firm in the last three calendar years.

Section F. Legal Proceedings

Attach a list and explanation of all legal proceedings, associated with the crimes identified below, against the applicant's business, and key management personnel, as defined in Part C, and against any North American parent, affiliate or subsidiary company of the applicant. For purposes of this Disclosure Statement, "legal proceedings" means any federal, state or local enforcement actions, whether administrative, civil or criminal, pending or adjudicated in the last three years. Include the following crimes:

Murder Theft and related crimes
Kidnapping Forgery and fraudulent practice

Gambling Racketeering

Robbery Perjury or false swearing
Bribery Assault constituting felony
Extortion Anti-trust violations

Criminal usury Fraud in the offering, sale, or purchase of securities Arson Alteration of motor vehicle identification numbers

Burglary Unlawful possession or use of destructive devices or explosives

Section G. Changes

The applicant shall report to the regulating agency in writing, within 90 days, any changes in majority ownership or convictions against the applicant or the applicant's key management personnel for any crime covered under Part III, Section F, Legal Proceedings.

Part IV - Required for all Applicants

Signature – Must be signed by owner or officer of the company

General Application Certifications

Par	t iv – Required for all Applicants General Application Certifications
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	I certify that the applicant's hazardous materials transportation (including hazardous waste) license, permit, or registration has not been withdrawn, denied, suspended or revoked by any state, local, or federal agency in the past year.
	I certify that the applicant's USDOT safety rating has not changed in the past year.
	I certify that the applicant has had no changes in majority ownership or convictions against the applicant or the applicant's key management personnel for any crime covered under Part III, Section F, Legal Proceedings in the past year.
	I certify that the applicant has a properly executed Form MCS-82 or MCS-90 and has in effect and will maintain the minimum level of financial responsibility as required by 49 CFR, Part 387 or required coverage for intrastate carriers, if applicable.
	I certify that there have been no changes in the applicant's operations that would require the applicant to obtain a higher level of credential under the Uniform Program. (Example: A carrier with a Part II Hazardous Materials permit begins transporting hazardous waste in a state that requires the Par III disclosure.)
	I understand that any information contained in this application may be verified through either a desk audit or on-site audit.
	I certify that, to the best of my knowledge and after due investigation, the information contained in this application is true, accurate, and complete.
Nan	ne (please type or print) Title

False statements may violate 18 U.S.C. 1001, may incur state penalties, and may invalidate the registration and permit form.

This page MUST be signed in INK and returned with the application

Date



Alliance for Uniform HazMat Transportation Procedures Fee Worksheet Summary Form

If applicant maintains multiple fleets for purposes of IRP reporting, complete a separate copy of this page for each fleet. IRP covers only 26,000 pound and heavier vehicles. Therefore, also include a non-IRP and non-IFTA power units as a separate fleet(s) if necessary.

Revised 04/07

Fleet	++			
Fleet	#			

Please read instructions 1-9 on this page before filling out the calculation spreadsheet

Proces	eneral esing Fee em 1	Permit Review Fee Item 2	Power Units Item 3	x	% IRP Mileage Item 4	x	% HazMat Activity Item 5	or	% HazWaste Activity Item 6	=	Round up to whole # Item 7	x	Vehicle Fee Item 8	=	Total per State Fees Item 9			
1. IL	\$250.00	N/A		x		x	N/A	or		=		x	\$20.00	=				
2. MI	\$50.00	\$500.00		x		x	N/A	or		=		x	\$50.00	=				
3. OK	\$50.00	\$500.00		x		x	N/A	or		=		x	\$100.00	=				
4. MN	\$50.00	N/A		x		х		or		=		x	\$30.00	=				
5. NV	\$125.00	\$500.00		x		x		or		=		x	\$125.00	=				
6. OH	\$50.00	N/A		x		х		or		=		x	\$20.00	=				
7. WV	\$50.00	N/A		x		x		or		=		x	\$50.00	=				
			•					Add Lines 1.7										

Add Lines 1-7

Add Base State Processing Fee (Item 1)

Add Base State Permit Review Fee (Item 2)

Total Remittance

- Item 1 General Processing Fee Pay this fee only to the Base Jurisdiction
- Item 2 Part II Permit Review Fee Pay this fee only to the Base Jurisdiction during a Permit Review Year (Part II Renewal or Original Application Year)
- Item 3 Enter average number of power units owned, leased or operated for the time period indicated in Section C, item 28 on page 1
- Item 4 Enter IRP mileage percentages from Section C, item 31 on page 1. All percentages should be rounded to two decimal places and the sum of these percentages must equal 100 percent. Intrastate carriers should allocate 100 percent to the state in which they operate.
- Item 5 Enter HazMat activity percentage from Section C, item 32 on page 1. **This percentage represents total activity in all states combined. Do not split activity among states.** The percentage of hazardous materials activity for less-than-truckload shipments shall be the weight of all hazardous materials shipments divided by the total weight of all shipments for either the last calendar year or the applicant's fiscal year. For truckload shipments, the percentage of hazardous materials activity shall be the number of placarded or marked shipments divided by the total number of shipments for the most recent twelve-month period for which this information is available. A carrier that transports both less-than-truckload and truckload shipments of hazardous materials shall calculate the percentage of hazardous materials activity on a proportional basis.
- Item 6 Enter the total percentage attributed ONLY to hazardous waste activity from Section C, item 33 on page 1 for the states of Illinois, Michigan and Oklahoma. This percentage represents total hazardous waste activity in all states combined. Do not split activity among states.
- Item 7 Enter the result of multiplying number of vehicles X IRP% X HM (or) HW % and round up to the nearest whole number
- Item 8 Vehicle Registration Fee Pay this fee per vehicle after calculating mileage and activity
- Item 9 Enter the result of multiplying the whole number in Item 7 X per vehicle registration fee in Item 8 above

Note: A separate invoice for the Part III Review fee will be sent directly to the Applicant from the Part III Review jurisdiction.

STATE ADMINISTERING AGENCY

UNIFORM PROGRAM LEVEL

Illinois Part II for Hazardous Waste

Illinois Environmental Protection Agency Division of Land Pollution Control 1021 North Grand Avenue East Springfield, IL 62702

Phone: 217/785-8604 FAX: 217/782-9290

Make checks payable to: TREASURER, STATE OF ILLINOIS

Michigan Part II for Hazardous Waste

Michigan Department of Environmental Quality

P.O. Box 30657 Lansing, MI 48909 Phone: 586/753-3850

FAX: 586/753-3831 Make checks payable to: STATE OF MICHIGAN

MinnesotaPart II for Hazardous MaterialsMinnesota Department of TransportationPart III for Hazardous Waste

395 John Ireland Boulevard MS 420 St. Paul, MN 55155-1899

Phone: 651/366-3680

FAX: 651/366-3718 Make checks payable to: COMMISSIONER OF TRANSPORTATION

Nevada Part II for Hazardous Materials/Waste

Nevada Highway Patrol Part III for Radioactive Waste

HazMat Registration & Permit Section 555 Wright Way

Carson City, NV 89711-0525

Phone: 775/684-4622

FAX: 775/684-4649 Make checks payable to: NEVADA HIGHWAY PATROL

OhioPart II for Hazardous MaterialsPublic Utilities Commission of OhioPart III for Hazardous Waste

Public Utilities Commission of Ohio Motor Carrier Registration, 14th Floor

180 East Broad Street Columbus, OH 43215-3793

Phone: 614/466-3392

FAX: 614/728-9292 Make checks payable to: TREASURER, STATE OF OHIO

Oklahoma Part II for Hazardous Waste

Oklahoma Corporation Commission Transportation Division P.O. Box 52000 2101 North Lincoln Blvd. Oklahoma City, OK 73152-2000

Phone: 405/521-2915

FAX: 405/521-2916 Make checks payable to: OKLAHOMA CORPORATION COMMISSION

West Virginia Part II for All Materials/Waste

Public Service Commission of West Virginia

Motor Carrier Section 201 Brooks Street Post Office Box 812 Charleston, WV 25323 Phone: 304/340-0456

FAX: 304/340-0394 Make checks payable to: PUBLIC SERVICE COMMISSION OF WEST VIRGINIA